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## Compact rings having a finite simple group of units

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### Abstract

For a compact Hausdorff ring, one observes that the group of units is a totally disconnected compact topological group and is a finite simple group if and only if it possesses no nontrivial closed normal subgroups. Three classification theorems for compact rings are now given. First, those compact rings with identity having a finite simple group of units are identified. Second, a classification of all compact rings  $A$  with identity for which  $2$  is a unit in  $A$ ,  $G$  modulo the center of  $G$  is a finite simple group and the length of  $W$  is less than or equal to  $4$  (or equivalently,  $W$  is a torsion group) is given where  $G$  is the group of units in  $A$  and  $W$  is the subgroup of  $G$  generated by  $\{g \in G: g^2 = 1\}$ . Finally, those compact rings with identity having  $2$  as a unit and for which  $W$  is a nilpotent group are identified. © 1997 Elsevier Science B.V.

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### 1. Introduction

If  $A$  is a compact Hausdorff topological ring with identity and if  $G$  is the group of units in  $A$ , then  $G$  is a compact topological group by [1, Exercise 12h, p. 119; 7, Theorem]. Since  $A$  is a totally disconnected space,  $G$  is 0-dimensional [15, Theorem 8; 10, Theorem 3.5, p. 12]. Consequently, if  $\pi$  is an irreducible representation of  $G$  in a Hilbert space, then  $\pi(G)$  is a finite group [11, Corollary 28.19, p. 69]. In particular,  $G$  contains no nontrivial closed normal subgroups if and only if  $G$  is a finite simple group.

In Section 2, we show that  $G$  is a finite simple group if and only if  $A$  is isomorphic and homeomorphic to the ring  $\prod_{x \in A} \mathbb{Z}/(2)$ , endowed with the product topology, where  $A$  is a nonempty set and  $\mathbb{Z}/(2)$  is the ring of integers modulo 2 or  $A$  is isomorphic and homeomorphic to  $(\prod_{x \in A} \mathbb{Z}/(2)) \times A_0$ , endowed with the product topology, where  $A$  is an arbitrary set and  $A_0$  is one of the following rings:

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- (1) a finite field of cardinality 3 or cardinality  $2^n$  where  $n$  is a positive integer such that  $2^n - 1$  is prime,
- (2) the set of  $n \times n$  matrices over  $\mathbb{Z}/(2)$  for some  $n \geq 3$ ,
- (3)  $\mathbb{Z}/(4)$ , the ring of integers modulo 4,
- (4)  $\mathbb{Z}/(2)[x]/(x^2)$  where  $\mathbb{Z}/(2)[x]$  is the ring of polynomials in  $x$  with coefficients in  $\mathbb{Z}/(2)$  and  $(x^2)$  is the ideal of  $\mathbb{Z}/(2)[x]$  generated by  $x^2$ , or
- (5) the set of all  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}/(2)$ .

If  $G$  is a group, let  $Z(G)$  denote the center of  $G$  and let  $W$  be the subgroup of  $G$  generated by the set of involutions  $\Delta = \{g \in G: g^2 = 1\}$ . If  $g \in W$ , the length  $l(g)$  of  $g$  is the smallest positive integer  $m$  such that there exist  $w_1, w_2, \dots, w_m$  in  $\Delta$  with  $g = w_1 w_2 \cdots w_m$ . For each subgroup  $H$  of  $W$ , define the length  $l(H)$  of  $H$  by  $l(H) = \sup_{g \in H} l(g)$ . There are compact rings with identity for which  $l(W)$  is infinite, and  $l(W)$  is finite if and only if  $W$  is compact. (See [5].) In [9], Gustafson et al. proved that if  $G$  is the group of nonsingular matrices over a field, then  $l(W) \leq 4$ . Consequently, if  $A$  is a semisimple compact ring with identity, then  $l(W) \leq 4$  as  $A$  is isomorphic to the product  $\prod_{x \in \Lambda} M_x$ , where each  $M_x$  is a matrix ring over a finite field [15, Theorem 16; 12, Theorem, p. 431; 13, Theorem, p. 171]. In Section 3 we show that for a compact ring  $A$ ,  $G/Z(G)$  is a finite simple group if and only if it possesses no nontrivial closed normal subgroups and then give a characterization of those compact rings  $A$  with identity for which 2 is a unit in  $A$ ,  $G/Z(G)$  is a finite simple group and  $l(W) \leq 4$ . In particular, we show that  $A$  has the above properties if and only if  $G/Z(G)$  is a finite simple group and  $W$  is a torsion group. Finally, in Section 4, we prove that if 2 is a unit in a compact ring  $A$  with identity, then the following are equivalent:

1.  $W$  is a nilpotent group.
2.  $W$  is abelian.
3.  $A$  is isomorphic and homeomorphic to the product  $\prod_{x \in \Lambda} N_x$ , where for each  $\alpha$  in  $\Lambda$ ,  $N_x$  is a compact local ring with identity such that the characteristic of  $N_x/J_x$  is an odd prime  $p_x$  where  $J_x$  is the Jacobson radical of  $N_x$ .

As a corollary, we obtain that if  $A$  is a compact ring with identity for which 2 is a unit, then  $G$  is abelian if and only if  $W$  and  $G/W$  are abelian.

Henceforth if  $A$  is a ring with identity,  $G$ ,  $J$ ,  $\Delta$  and  $W$  will denote the group of units in  $A$ , the Jacobson radical of  $A$ , the subset  $\{g \in G: g^2 = 1\}$  of involutions of  $G$  and the subgroup of  $G$  generated by  $\Delta$ , respectively. In order to avoid confusion, we will sometimes denote  $G$ ,  $J$ ,  $\Delta$  and  $W$  by  $G(A)$ ,  $J(A)$ ,  $\Delta(A)$  and  $W(A)$ , respectively.

## 2. Compact rings having a simple group of units

Henceforth, all compact topologies are assumed to be Hausdorff.

**Lemma 2.1.** *Let  $G$  be a totally disconnected compact group. Then  $G$  possesses no nontrivial closed normal subgroups if and only if  $G$  is a finite simple group.*

**Proof.** Suppose that  $G$  contains no nontrivial closed normal subgroups. Since  $G$  is a compact group,  $G$  has a unitary irreducible representation in the group  $GL(V)$  of automorphisms of a finite dimensional complex vector space  $V$  by [16, Theorem 2, p. 27]. By hypothesis, this representation is faithful and hence  $G$  is isomorphic to a closed subgroup of  $GL(V)$ . Therefore  $G$  is a Lie group [2, Corollary, p. 135]. Consequently, as each component of a Lie group is open [2, Proposition 1, p. 40],  $G$  is endowed with the discrete topology. Thus  $G$  is a finite group.

The converse is clear.  $\square$

**Theorem 2.2.** *Let  $G$  be the group of units of a compact ring  $A$  with identity. (1)  $G$  is a totally disconnected compact topological group. (2)  $G$  is a finite simple group if and only if  $G$  possesses no nontrivial closed normal subgroups.*

**Proof.** By [1, Exercise 12h, p. 119; 7, Theorem],  $G$  is a compact topological group. As  $A$  is totally disconnected [15, Theorem 8],  $G$  is totally disconnected as well. (2) follows from Lemma 2.1.  $\square$

Recall that an idempotent  $e$  in a ring  $A$  is *primitive* if  $e$  is not the sum of two nontrivial orthogonal idempotents in  $A$ .

**Lemma 2.3.** *Let  $A$  be a compact ring with identity and suppose  $e + J$  is a primitive idempotent in  $A/J$ . If  $f$  is any idempotent in  $A$  such that  $f + J = e + J$ , then  $f$  is primitive.*

**Proof.** If  $f$  were not primitive, then there would exist nontrivial orthogonal idempotents  $f_1$  and  $f_2$  in  $A$  such that  $f = f_1 + f_2$ . Consequently as  $f + J$  is a primitive idempotent in  $A/J$ , either  $f_1 + J = J$  or  $f_2 + J = J$ , that is, either  $f_1 \in J$  or  $f_2 \in J$ . But  $J$  contains no nontrivial idempotent since  $a^n \rightarrow 0$  for all  $a$  in  $J$  [15, Theorem 15]. Hence  $f$  is a primitive idempotent in  $A$ .  $\square$

**Lemma 2.4.** *Let  $A$  be a compact ring with identity such that  $A/J = \prod_{\alpha \in \Lambda} \mathbb{Z}/(2)$  for some nonempty set  $\Lambda$ . For each  $\beta$  in  $\Lambda$ , let  $E_\beta = \langle x_\alpha \rangle_{\alpha \in \Lambda}$  where  $x_\beta = \bar{1}$ , the multiplicative identity of  $\mathbb{Z}/(2)$  and for  $\alpha \neq \beta$ ,  $x_\alpha = \bar{0}$ , the additive identity of  $\mathbb{Z}/(2)$ . Then there exists a family  $\{e_\alpha: \alpha \in \Lambda\}$  of primitive orthogonal idempotents in  $A$  such that  $e_\alpha + J = E_\alpha$  for all  $\alpha$  in  $\Lambda$ ,  $\sum_{\alpha \in \Lambda} e_\alpha = 1$  and  $e_\alpha A e_\alpha / e_\alpha J e_\alpha \cong \mathbb{Z}/(2)$  for all  $\alpha$  in  $\Lambda$ .*

**Proof.** Well-order  $\Lambda$ . If  $\Lambda$  has no largest element, let  $\Lambda' = \Lambda$ . Otherwise, adjoin  $\infty$  to  $\Lambda$  and extend the ordering from  $\Lambda$  to  $\Lambda \cup \{\infty\}$  by declaring that  $\infty$  is the largest element in  $\Lambda \cup \{\infty\}$ . In this case, let  $\Lambda' = \Lambda \cup \{\infty\}$ . Let  $\lambda_0$  be the smallest element of  $\Lambda$ . For each  $\lambda \in \Lambda' \setminus \{\lambda_0\}$ , define  $F_\lambda$  by  $F_\lambda = \sum_{\rho < \lambda} E_\rho$ . So  $F_\lambda = \langle y_\alpha \rangle_{\alpha \in \Lambda}$  where  $y_\alpha = \bar{1}$  for all  $\alpha < \lambda$  and  $y_\alpha = \bar{0}$  for all  $\alpha \geq \lambda$ . Clearly, if  $\lambda_1, \lambda_2 \in \Lambda' \setminus \{\lambda_0\}$  where  $\lambda_1 \leq \lambda_2$ , then  $F_{\lambda_1} F_{\lambda_2} = F_{\lambda_2} F_{\lambda_1} = F_{\lambda_1}$ . Moreover, if  $\lambda$  is a limit ordinal of  $\Lambda' \setminus \{\lambda_0\}$ , then  $F_\lambda = \lim_{\rho < \lambda} F_\rho$ . Hence by [15, Lemma 12], there exists a family  $\{h_\lambda: \lambda \in \Lambda' \setminus \{\lambda_0\}\}$

of idempotents in  $A$  such that  $h_{\lambda_1} h_{\lambda_2} = h_{\lambda_2} h_{\lambda_1} = h_{\lambda_1}$  for all  $\lambda_0 < \lambda_1 \leq \lambda_2$  and  $h_\lambda + J = F_\lambda$  for all  $\lambda \in A' \setminus \{\lambda_0\}$ . Let  $h_{\lambda_0}$  be the additive identity of  $A$ . For each  $\lambda \in A$ , let  $\gamma(\lambda)$  denote the smallest element of  $\{\rho \in A' : \lambda < \rho\}$  and let  $e_\lambda = h_{\gamma(\lambda)} - h_\lambda$ . Then  $\{e_\lambda : \lambda \in A\}$  is a family of orthogonal idempotents in  $A$  such that for each  $\alpha$  in  $A$ ,  $e_\alpha + J = E_\alpha$  and  $e_\alpha A e_\alpha / e_\alpha J e_\alpha \cong \mathbb{Z}/(2)$ . As each  $E_\alpha$  is a primitive idempotent in  $A/J$ , Lemma 2.3 yields that each  $e_\alpha$  is a primitive idempotent in  $A$ . So it suffices to prove that  $\sum_{\alpha \in A} e_\alpha = 1$ .

First notice that  $\sum_{\alpha \in A} e_\alpha$  exists. Indeed, as  $A$  is compact, there exists a fundamental system of ideal neighborhoods of zero in  $A$  [10, Theorem 3.5, p. 12, Theorem 7.7, p. 62; 15, Theorem 8 and Lemma 9]. Since  $A$  is complete, it suffices to show that if  $U$  is an open ideal of  $A$  and if  $M = \{\alpha \in A : e_\alpha \notin U\}$ , then  $M$  is finite. Let  $U$  be an open ideal of  $A$ . Then  $A/U$  is a compact discrete ring and hence a finite ring. In particular,  $A/U$  has finitely many idempotents. Moreover, if  $\alpha$  and  $\beta$  are distinct elements of  $M$ , then  $e_\alpha + U \neq e_\beta + U$ . Indeed, if  $e_\alpha + U = e_\beta + U$ , then  $e_\alpha + U = e_\alpha^2 + U = e_\alpha e_\beta + U = 0 + U = U$ , a contradiction. Hence  $M$  is finite and so  $\sum_{\alpha \in A} e_\alpha$  exists. (The above proof is an adaptation of one given by Seth Warner in an unpublished manuscript.) Since  $\{e_\alpha : \alpha \in A\}$  is a family of orthogonal idempotents in  $A$ ,  $\sum_{\alpha \in A} e_\alpha$  is an idempotent as well. Thus  $1 - \sum_{\alpha \in A} e_\alpha$  is an idempotent in  $A$ . By construction,  $1 - \sum_{\alpha \in A} e_\alpha \in J$  and therefore, as in the proof of Lemma 2.3,  $1 - \sum_{\alpha \in A} e_\alpha = 0$ .  $\square$

**Lemma 2.5.** *Let  $A$  be a ring with identity and let  $\Gamma$  denote a nonempty set of idempotents in  $A$  such that for all  $f$  in  $\Gamma$ ,  $f + J$  is a central idempotent in  $A/J$ . If  $\Gamma$  is contained in the centralizer of  $J$  in  $A$ , then  $\Gamma$  is contained in the center of  $A$ .*

**Proof.** Let  $e \in \Gamma$  and let  $x \in A$ . Since  $(e + J)(x + J) = (x + J)(e + J)$ ,  $ex - xe \in J$ . Denote  $ex - xe$  by  $a$ . Then  $ae = ea$  and so  $ea = e^2 a = e(ea) = e(ae) = e(ex - xe)e = 0$ . Thus  $0 = ea = e(ex - xe) = ex - exe$  and hence  $ex = exe$ . Since  $ae = ea = 0$ ,  $0 = ae = (ex - xe)e$  and consequently,  $exe = xe$  as well. Therefore  $e$  is in the center of  $A$ .  $\square$

**Lemma 2.6.** *Let  $A$  be a nonempty set and for each  $\alpha \in A$ , let  $F_\alpha$  be a finite field endowed with the discrete topology. Let  $A = \prod_{\alpha \in A} F_\alpha$ , endowed with the product topology. If  $I$  is a nonzero closed left (right) ideal of  $\prod_{\alpha \in A} F_\alpha$ , then there exists a nonempty subset  $A_1$  of  $A$  such that  $I = \prod_{\alpha \in A} B_\alpha$  where  $B_\alpha = F_\alpha$  for all  $\alpha$  in  $A_1$  and  $B_\alpha = \{0_\alpha\}$  for all  $\alpha \in A \setminus A_1$  (where  $0_\alpha$  is the additive identity of  $F_\alpha$ ).*

**Proof.** For each  $\alpha$  in  $A$ , let  $1_\alpha$  denote the multiplicative identity of  $F_\alpha$ . Define  $A_1$  by,  $A_1 = \{\alpha \in A : \text{there exists } \langle x_\beta \rangle_{\beta \in A} \text{ in } I \text{ with } x_\alpha \neq 0_\alpha\}$ . For each  $\alpha$  in  $A_1$ , let  $B_\alpha = F_\alpha$  and for each  $\alpha$  in  $A \setminus A_1$ , let  $B_\alpha = \{0_\alpha\}$ . Clearly  $I \subseteq \prod_{\alpha \in A} B_\alpha$ .

We first prove that given any  $\alpha$  in  $A_1$ , the element  $s_\alpha$  of  $A$  defined by,  $s_\alpha = \langle v_\beta \rangle_{\beta \in A}$  where  $v_\alpha = 1_\alpha$  and  $v_\beta = 0_\beta$  for  $\beta \neq \alpha$ , is an element of  $I$ . Indeed, let  $\langle x_\beta \rangle_{\beta \in A} \in I$  be such that  $x_\alpha \neq 0_\alpha$  and let  $y_\alpha \in F_\alpha$  be such that  $x_\alpha y_\alpha = y_\alpha x_\alpha = 1_\alpha$ . Define  $\langle z_\beta \rangle_{\beta \in A} \in A$  by,  $z_\alpha = y_\alpha$  and  $z_\beta = 0_\beta$  for  $\beta \neq \alpha$ . Then  $s_\alpha = \langle z_\beta \rangle_{\beta \in A} \langle x_\beta \rangle_{\beta \in A} \in I$ .

Now let  $\langle d_x \rangle_{x \in A} \in \prod_{x \in A} B_x$ . As  $I$  is closed, it suffices to prove that  $\langle d_x \rangle_{x \in A} \in \bar{I}$ . So let  $U$  be a neighborhood of  $\langle d_x \rangle_{x \in A}$  in  $A$ . Without loss of generality, we may assume that there exists a finite subset  $A_2$  of  $A$  such that  $U = \prod_{x \in A} U_x$  where  $U_x = \{d_x\}$  for all  $x$  in  $A_2$  and  $U_x = F_x$  for all  $x \in A \setminus A_2$ . Let  $A'_2 \subseteq A_2$  be such that for all  $x$  in  $A'_2$ ,  $d_x \neq 0_x$  and for all  $x$  in  $A_2 \setminus A'_2$ ,  $d_x = 0_x$ . For each  $x$  in  $A'_2$ , let  $t_x = \langle c_\beta \rangle_{\beta \in A}$  where  $c_x = d_x$  and  $c_\beta = 0_\beta$  for all  $\beta \neq x$ . Recall that for each  $x$  in  $A'_2$ ,  $s_x \in I$ . Thus  $\sum_{x \in A'_2} t_x s_x \in I \cap U$  and so  $\langle d_x \rangle_{x \in A} \in \bar{I}$ .  $\square$

Recall that a ring  $A$  with identity is called a *local ring* if the set of nonunits in  $A$  is an ideal of  $A$ .

**Lemma 2.7.** *Let  $A$  be a compact ring with identity having characteristic two such that  $J = \{0, a\}$  for some nonzero  $a$  in  $A$  and  $A/J \cong \prod_{x \in \Lambda} \mathbb{Z}/(2)$  for some nonempty set  $\Lambda$ . Then for some indexing set  $\Gamma$ ,  $A$  is isomorphic and homeomorphic to  $(\prod_{\beta \in \Gamma} \mathbb{Z}/(2)) \times A_0$  where  $A_0$  is one of the following rings:*

- (1)  $\mathbb{Z}/(2)[x]/(x^2)$  where  $\mathbb{Z}/(2)[x]$  is the ring of polynomials in  $x$  with coefficients in  $\mathbb{Z}/(2)$  and  $(x^2)$  is the ideal of  $\mathbb{Z}/(2)[x]$  generated by  $x^2$ ; or
- (2) the set of all  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}/(2)$ .

**Proof.** First notice that as  $g$  is a unit in  $A$  if and only if  $g + J$  is a unit in  $A/J$ ,  $G = 1 + J$ . By Lemma 2.4, there exists a primitive idempotent  $e$  in  $A$  such that  $ea \neq 0$  and  $eAe/eJe \cong \mathbb{Z}/(2)$ . In particular, as  $ea \in J$ ,  $ea = a$ . Recall that the Pierce decomposition of  $A$  relative to  $e$  yields that  $A = eAe \oplus (1 - e)A(1 - e) \oplus eA(1 - e) \oplus (1 - e)Ae$ . (See for example [14, p. 48].)

Suppose that  $eae = 0$ . We first show that  $A = eAe \oplus (1 - e)A(1 - e) \oplus eA(1 - e)$  where  $J = eA(1 - e)$ . Indeed, as  $eae = 0$ ,  $ae = 0$  and thus  $(1 - e)a(1 - e) = (1 - e)a = 0$ . So  $a = eae + (1 - e)a(1 - e) + ea(1 - e) + (1 - e)ae = ea(1 - e)$  and consequently  $J \subseteq eA(1 - e)$ . Notice that if  $x \in eA(1 - e)$ , then  $x^2 = 0$  and hence  $(1 + x)(1 - x) = (1 - x)(1 + x) = 1$ . Thus if  $x \in eA(1 - e)$ , then  $1 + x \in G = 1 + J$ . Therefore,  $eA(1 - e) \subseteq J$ . Similarly as  $((1 - e)Ae)^2 = \{0\}$ ,  $(1 - e)Ae \subseteq J = eA(1 - e)$  and hence  $(1 - e)Ae = \{0\}$ . So  $A = eAe \oplus (1 - e)A(1 - e) \oplus eA(1 - e)$  where  $eA(1 - e) = J$ .

Observe next that as  $eae = 0$ ,  $eJe = \{0\}$  and hence  $eAe$  is a finite field having two elements. Moreover as  $(1 - e)a(1 - e) = 0$  and as  $(1 - e)J(1 - e)$  is the Jacobson radical of  $(1 - e)A(1 - e)$  [14, Proposition 1, p. 48],  $(1 - e)A(1 - e)$  is a compact semisimple ring with identity  $1 - e \neq 0$ . Furthermore,  $1 - e$  is the only unit in  $(1 - e)A(1 - e)$ . Indeed, if  $x$  and  $y$  are elements in  $(1 - e)A(1 - e)$  such that  $x \neq 1 - e$  but  $xy = yx = (1 - e)$ , then as  $x = ex = ye = ey = 0$ ,  $(x + e)(y + e) = (y + e)(x + e) = 1$ . Therefore  $x + e \in G = 1 + J = \{1, 1 + a\}$ . Since  $x \neq 1 - e$ ,  $x + e = 1 + a$ . Consequently,  $ex + e^2 = e + ea = e + a$  and so  $0 = ex = a$ , a contradiction. Thus  $(1 - e)A(1 - e)$  is isomorphic and homeomorphic to  $\prod_{x \in \Gamma_1} \mathbb{Z}/(2)$  for some nonempty set  $\Gamma_1$  by [15, Theorem 16]. For simplicity of notation, assume that  $(1 - e)A(1 - e) = \prod_{x \in \Gamma_1} \mathbb{Z}/(2)$ .

Let  $a^r$  denote the right annihilator of  $a$  in  $A$  and let  $g : (1 - e)A(1 - e) \rightarrow J$  be given by  $g(x) = ax$  for all  $x$  in  $(1 - e)A(1 - e)$ . Observe that  $g$  is a surjective additive group homomorphism with kernel  $a^r \cap (1 - e)A(1 - e)$ . So  $a^r \cap (1 - e)A(1 - e)$  is a closed subset of  $(1 - e)A(1 - e)$  and hence by Lemma 2.6, there exists a subset  $\Gamma_2$  of  $\Gamma_1$  such that  $a^r \cap (1 - e)A(1 - e) = \prod_{\alpha \in \Gamma_1} B_\alpha$  where  $B_\alpha = \mathbb{Z}/(2)$  for all  $\alpha$  in  $\Gamma_2$  and  $B_\alpha = \{\bar{0}\}$  otherwise (where  $\bar{0}$  is the additive identity of  $\mathbb{Z}/(2)$ ). In particular,  $a^r \cap (1 - e)A(1 - e)$  is a two-sided ideal of  $(1 - e)A(1 - e)$ . Moreover, as  $(1 - e)A(1 - e)/a^r \cap (1 - e)A(1 - e) \cong J$ , the cardinality,  $|\Gamma_1 \setminus \Gamma_2|$ , of  $\Gamma_1 \setminus \Gamma_2$  is 1. Let  $\alpha_0 \in \Gamma_1 \setminus \Gamma_2$  and let  $I = \prod_{\alpha \in \Gamma_1} C_\alpha$  where  $C_{\alpha_0} = \mathbb{Z}/(2)$  and for all  $\alpha \in \Gamma_1 \setminus \{\alpha_0\}$ ,  $C_\alpha = \{\bar{0}\}$ . Then  $(1 - e)A(1 - e) = I \oplus a^r \cap (1 - e)A(1 - e)$  and so  $A = eAe \oplus [I \oplus a^r \cap (1 - e)A(1 - e)] \oplus eA(1 - e)$ . A routine proof shows that  $eAe \oplus I \oplus eA(1 - e)$  and  $a^r \cap (1 - e)A(1 - e)$  are ideals of  $A$ , the first of which has 8 elements and the second of which is isomorphic and homeomorphic to  $\prod_{\alpha \in \Gamma_2} \mathbb{Z}/(2)$  or to  $\{\bar{0}\}$  if  $\Gamma_2 = \emptyset$ .

By construction,  $I \cong \mathbb{Z}/(2)$ . So if  $i$  is the multiplicative identity of  $I$ , then  $e + i$  is the multiplicative identity of the ring  $eAe \oplus I \oplus eA(1 - e)$ . Notice that  $eAe \oplus I \oplus eA(1 - e)$  is noncommutative as  $ea \neq ae$ . Thus  $eAe \oplus I \oplus eA(1 - e)$  is isomorphic to the ring of  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}/(2)$  by [17, Theorem 14]. Consequently, if  $ea = 0$ , then  $A$  is isomorphic and homeomorphic to  $A_0$  or to  $\left(\prod_{\alpha \in \Gamma_2} \mathbb{Z}/(2)\right) \times A_0$  where  $A_0$  is the ring of  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}/(2)$ .

Finally, suppose that  $ea \neq 0$ , that is, suppose that  $ea = a$ . We first show that  $e$  is in the center of  $A$ . Indeed, let  $x \in A$ . As  $A/J$  is commutative,  $ex - xe \in J$ . Therefore  $ex - xe = 0$  or  $ex - xe = a$ . If  $ex - xe = a$ , then  $e^2x - exe = ea = a = ex - xe$  and hence  $exe = xe$ . Similarly,  $exe = ex$  and so in either case  $ex = xe$ . Thus  $e$  is in the center of  $A$ . In particular,  $eA(1 - e) = (1 - e)Ae = \{0\}$  and so  $A = eAe \oplus (1 - e)A(1 - e)$ .

Recall that  $eAe/eJe \cong \mathbb{Z}/(2)$ , a finite field having two elements. So  $eAe$  is a local ring with identity having four elements and having characteristic 2. Consequently, by [3, Theorem 2.5],  $eAe \cong \mathbb{Z}/(2)[x]/(x^2)$ .

Since  $ea = a$ ,  $(1 - e)a(1 - e) = 0$ . Therefore, as before, if  $1 - e \neq 0$ , then  $(1 - e)A(1 - e)$  is isomorphic and homeomorphic to  $\prod_{\alpha \in \Gamma} \mathbb{Z}/(2)$  for some nonempty set  $\Gamma$ . Otherwise,  $(1 - e)A(1 - e) = \{0\}$ . Thus  $A$  is isomorphic and homeomorphic to  $\left(\prod_{\alpha \in \Gamma} \mathbb{Z}/(2)\right) \times \mathbb{Z}/(2)[x]/(x^2)$  or to  $\mathbb{Z}/(2)[x]/(x^2)$ .  $\square$

**Theorem 2.8.** *Let  $A$  be a compact ring with identity and let  $G$  be the group of units in  $A$ .  $G$  is simple if and only if  $A$  is isomorphic and homeomorphic to  $\prod_{x \in \Lambda} \mathbb{Z}/(2)$  for some nonempty set  $\Lambda$  or  $A$  is isomorphic and homeomorphic to  $\left(\prod_{x \in \Lambda} \mathbb{Z}/(2)\right) \times A_0$  where  $\Lambda$  is an arbitrary set and  $A_0$  is one of the following rings:*

- (1) a finite field of cardinality 3 or  $2^n$  for some positive integer  $n$  such that  $2^n - 1$  is a prime,
- (2) the set of  $n \times n$  matrices over  $\mathbb{Z}/(2)$  where  $n$  is a positive integer greater than or equal to 3,
- (3)  $\mathbb{Z}/(4)$ ,

- (4)  $\mathbb{Z}/(2)[x]_{/(x^2)}$ , or
- (5) the ring of  $2 \times 2$  upper triangular matrices over  $\mathbb{Z}/(2)$ .

**Proof.** As  $GL(n, \mathbb{Z}/(2))$  is simple for all  $n \geq 3$  [19, Theorem 9.9, p. 78], if  $A$  is one of the rings described above, then  $G$  is a finite simple group. Conversely, assume that  $G$  is a simple group. By Theorem 2.2,  $G$  is finite.

First assume that  $A$  is semisimple. By [15, Theorem 16],  $A$  is isomorphic and homeomorphic to  $\prod_{x \in A} M_x$  where each  $M_x$  is the set of  $n_x \times n_x$  matrices over a finite field  $F_x$ . For each  $x$  in  $A$ , let  $G_x$  denote the group of units in  $M_x$ . As  $G$  is a simple group, the set  $A_1$  defined by  $A_1 = \{x \in A : |G_x| > 1\}$  has at most one element. Moreover, if  $x \in A_1$ , then  $G_x$  is a finite simple group. Thus for all  $\beta$  in  $A \setminus A_1$ ,  $M_\beta \cong \mathbb{Z}/(2)$  and if  $A_1 = \{x\} \neq \emptyset$ , then  $M_x$  is a finite field such that the cardinality of  $G_x$  is a prime or  $M_x$  is isomorphic to the ring of  $n \times n$  matrices over  $\mathbb{Z}/(3)$  for some  $n \geq 3$  [19, Theorem 9.9, p. 78].

Suppose then that  $J \neq \{0\}$ . Since  $1+J$  is a closed normal subgroup of  $G$ ,  $G = 1+J$ . Consequently,  $A/J$  is isomorphic and homeomorphic to  $\prod_{x \in A} \mathbb{Z}/(2)$  for some nonempty set  $A$  by [15, Theorem 16; 12, Theorem, p. 431; 13, Theorem, p. 171].

Since  $J$  is finite,  $J^2 \neq J$  by Nakayama’s Lemma [13, Theorem, p. 412]. Thus as  $1+J^2$  is a closed normal subgroup of  $1+J$ ,  $J^2 = (0)$ . In particular, as  $G = 1+J$ ,  $G$  is abelian and so the cardinality of  $G$  is a prime  $p$ . Note that  $2 \in J$  since  $A/J \cong \prod_{x \in A} \mathbb{Z}/(2)$ . Therefore as  $J^2 = (0)$ , the characteristic of  $A$  is either 2 or 4. Let  $a \in J \setminus \{0\}$ . If the characteristic of  $A$  is 2, then  $(1+a)^2 = 1$  and hence the order of  $1+a$  is 2. Thus  $p = 2$ . On the other hand, if the characteristic of  $A$  is 4, then  $2 \in J \setminus \{0\}$  and  $(1+2)^2 = 1$ . Therefore in either case,  $p = 2$ , that is  $1+J = G = \{1, 1+a\}$  for some nonzero  $a$  in  $J$ . By Lemma 2.7, it suffices to show that if  $A$  has characteristic 4, then  $A$  is isomorphic and homeomorphic to  $\left(\prod_{\beta \in \Gamma} \mathbb{Z}/(2)\right) \times \mathbb{Z}/(4)$  for some indexing set  $\Gamma$ .

Assume then that the characteristic of  $A$  is 4. We first prove that  $A$  is a commutative ring. As  $2 \in J \setminus \{0\}$ ,  $J = \{0, 2\}$  and hence by Lemma 2.5, if  $e$  is any idempotent in  $A$ , then  $e$  is contained in the center of  $A$ . Consequently,  $A$  is commutative. Indeed, let  $x \in A$ . Then  $x+J$  is an idempotent in  $A/J$  and hence there exists an idempotent  $e$  in  $A$  such that  $x+J = e+J$  [15, Lemma 12]. Thus  $x \in \{e, e+2\}$  and so  $x$  is in the center of  $A$ . Therefore by [15, Theorem 17],  $A$  is isomorphic and homeomorphic to  $\prod_{x \in A_1} N_x$  where for each  $x$  in  $A_1$ ,  $N_x$  is a commutative, local, compact ring with identity. For each  $x$  in  $A_1$ , let  $G_x$  denote the group of units in  $N_x$  and let  $J_x$  denote the Jacobson radical of  $N_x$ . As  $A/J \cong \prod_{x \in A} \mathbb{Z}/(2)$ , for each  $x$  in  $A_1$ ,  $N_x/J_x \cong \mathbb{Z}/(2)$ . Let  $A_2$  be the subset of  $A_1$  defined by,  $A_2 = \{x \in A_1 : |G_x| > 1\}$ . Then for all  $x \in A_1 \setminus A_2$ ,  $|G_x| = 1$  and hence  $N_x \cong \mathbb{Z}/(2)$ . As before, since  $G$  is simple,  $A_2$  has at most one element. But as  $A$  has characteristic 4,  $A_2 \neq \emptyset$ . Let  $A_2 = \{x_0\}$ . Then  $A$  is isomorphic and homeomorphic to  $\left(\prod_{x \in A_1 \setminus A_2} \mathbb{Z}/(2)\right) \times N_{x_0}$ . It suffices to show that  $N_{x_0} \cong \mathbb{Z}/(4)$ .

Observe that  $N_{x_0}$  has characteristic 4 as  $A$  has characteristic 4. Moreover, as  $|G| = 2$ ,  $|G_{x_0}| = 2$  as well. Therefore  $|N_{x_0}| = 4$  since  $N_{x_0}/J_{x_0} \cong \mathbb{Z}/(2)$ . So  $N_{x_0}$  is a 4-element ring with identity having characteristic 4, that is,  $N_{x_0} \cong \mathbb{Z}/(4)$ .  $\square$

**Corollary 2.9.** *Let  $A$  be a compact ring with identity and let  $G$  be the group of units in  $A$ . The following statements are equivalent:*

- (a)  $G$  possesses no nontrivial closed normal subgroups.
- (b)  $G$  is a finite simple group.
- (c)  $G$  is isomorphic to one of the following finite simple groups:
  - (1) the trivial group,
  - (2)  $\mathbb{Z}/(2)$ ,
  - (3)  $\mathbb{Z}/(2^n - 1)$  where  $2^n - 1$  is a prime or
  - (4)  $GL(n, \mathbb{Z}/(2))$  where  $n \geq 3$ .

**Proof.** The corollary follows from Theorems 2.2 and 2.8.  $\square$

### 3. Simplicity of $G/Z(G)$

Throughout this section, unless otherwise stated,  $A$  is a compact ring with identity. For each subgroup  $U$  of  $G$ , we will denote the center of  $U$  by  $Z(U)$ .

**Lemma 3.1.**  *$Z(G)$  is a closed normal subgroup of  $G$  and  $G/Z(G)$  is a compact totally disconnected group.*

**Proof.** The fact that  $Z(G)$  is a closed subset of  $G$  follows from the continuity of the map  $(x, y) \rightarrow xyx^{-1}y^{-1}$ . Since  $G$  is totally disconnected by Theorem 2.2,  $G/Z(G)$  is also totally disconnected [10, Theorem 7.11, p. 63].  $\square$

**Lemma 3.2.**  *$G/Z(G)$  is a finite simple group if and only if  $G/Z(G)$  has no nontrivial closed normal subgroups.*

**Proof.** The result follows from Lemmas 2.1 and 3.1.  $\square$

**Lemma 3.3.** *If  $G/Z(G)$  is a finite simple group, then either  $W = Z(W)$  or  $W/Z(W) \cong G/Z(G)$ .*

**Proof.** Assume that  $W \neq Z(W)$ . Since  $WZ(G)$  is a normal subgroup of  $G$  containing  $Z(G)$ ,  $WZ(G) = Z(G)$  or  $WZ(G) = G$ . If  $WZ(G) = Z(G)$ , then  $W \subseteq Z(G)$  and hence  $W = Z(W)$ , a contradiction. So  $WZ(G) = G$ . Therefore  $G/Z(G) = WZ(G)/Z(G) \cong W/W \cap Z(G)$ . In particular,  $W/W \cap Z(G)$  is a simple group. Clearly,  $W \cap Z(G) \subseteq Z(W)$ . Therefore since  $W/W \cap Z(G)$  is simple and since  $W \neq Z(W)$  by assumption,  $Z(W) = W \cap Z(G)$ . So  $G/Z(G) \cong W/Z(W)$ .  $\square$

As in Section 1, for each  $w \in W$ , define the *length*  $l(w)$  of  $w$  to be the smallest positive integer  $m$  such that there exist  $w_1, w_2, \dots, w_m$  in  $A$  with  $w = w_1 w_2 \cdots w_m$ . For each subset  $S$  of  $W$ , let  $l(S) = \sup\{l(s) : s \in S\}$ .



**Lemma 3.4.** *Let  $w \in W$  be such that  $l(w) \leq 2$ . Then for each positive integer  $n$ ,  $l(w^n) \leq 2$ .*

**Proof.** The result clearly holds if  $l(w) = 1$ , that is, if  $w^2 = 1$ . So assume that  $l(w) = 2$ . Let  $d_1, d_2 \in A$  be such that  $w = d_1d_2$  and let  $n$  be a positive integer. If  $n = 2k + 1$  for some positive integer  $k$ , then  $w^n = [(d_1d_2)^k d_1][d_2(d_1d_2)^k]$  where  $[(d_1d_2)^k d_1]^2 = [d_2(d_1d_2)^k]^2 = 1$  and so  $l(w^n) \leq 2$ . If  $n$  is an even integer, then  $w^n = [(d_1d_2)^{n-2} d_1][d_2 d_1 d_2]$  where  $[(d_1d_2)^{n-2} d_1]^2 = (d_2 d_1 d_2)^2 = 1$  and so once again,  $l(w^n) \leq 2$ .  $\square$

The following was proved in [6].

**Lemma 3.5.** *Suppose that 2 is a unit in  $A$ . The following are equivalent:*

(1)  $\{g \in (1 + J) \cap W : l(g) \leq 2\} = \{1\}$ .

(2)  $(1 + J) \cap W = \{1\}$ .

(3)  $A$  is isomorphic and homeomorphic to  $\prod_{x \in A} N_x$  where for each  $x$  in  $A$ ,  $N_x$  is a matrix ring over a finite field of odd characteristic or  $N_x$  is a compact local ring with identity such that the characteristic of  $N_x/J_x$  is an odd prime where  $J_x$  is the Jacobson radical of  $N_x$ .

**Proof.** See [6, Theorem 2.6].  $\square$

**Lemma 3.6.** *Let  $F$  be a finite field having odd characteristic, let  $n$  be a positive integer and let  $A = M(n, F)$ , the ring of  $n \times n$  matrices over  $F$ .*

(1)  $W = \{x \in A : \det x = \pm 1\}$  and  $l(W) \leq 4$ .

(2)  $Z(W) = Z(G) \cap W$ .

(3)  $W/Z(W)$  is simple if and only if there is a  $k$  in  $F$  with  $k^n = -1$ .

**Proof.** (1) holds by [9]. Clearly (2) and (3) hold when  $n = 1$ . So assume that  $n \geq 2$ . Notice that since  $F$  has odd characteristic, if  $w \in G$ , then  $w \text{diag}(1, 1, \dots, 1, -1) = \text{diag}(1, 1, \dots, 1, -1)w$  if and only if

$$w = \begin{pmatrix} & & 0 \\ & B & \vdots \\ & & 0 \\ 0 & \dots & 0 & a_{nn} \end{pmatrix}$$

for some nonsingular matrix  $B$  in  $M(n-1, F)$  and for some  $a_{nn}$  in  $F \setminus \{0\}$ . In particular, if  $w \in Z(W)$ , then  $w$  has the above form. So for all  $w$  in  $Z(W)$  and for all  $k$  in  $F \setminus \{0\}$ ,  $w \text{diag}(1, 1, \dots, 1, k) = \text{diag}(1, 1, \dots, 1, k)w$ . As  $G = W \{\text{diag}(1, 1, \dots, 1, k) : k \in F \setminus \{0\}\}$  [18, Lemma 8.13, p. 163],  $Z(W) \subseteq Z(G)$  and hence (2) holds.

Denote  $\{x \in A : \det x = 1\}$  by  $SL(n, F)$ . By (1) and (2),  $Z(W) = \{\alpha I : \alpha^n = \pm 1\}$  where  $I$  is the  $n \times n$  identity matrix in  $A$ . Hence as  $Z(SL(n, F)) = \{\alpha I : \alpha^n = 1\}$  [18, Theorem 8.15, p. 164],  $Z(SL(n, F)) = SL(n, F) \cap Z(W)$ .

Suppose there is a  $k$  in  $F$  with  $k^n = -1$ . Since  $kl \in Z(W)$  and  $\det(kl) = -1$ ,  $\text{SL}(n, F)Z(W) = W$ . Therefore,  $W/Z(W) = \text{SL}(n, F)Z(W)/Z(W) \cong \text{SL}(n, F)/(\text{SL}(n, F) \cap Z(W)) = \text{SL}(n, F)/Z(\text{SL}(n, F)) = \text{PSL}(n, F)$ , the projective unimodular group. Therefore if  $n \geq 3$ , then  $W/Z(W)$  is simple by the Jordan–Dickson Theorem [18, Theorem 8.27, p. 174]. Since there exists a  $k$  in  $F$  with  $k^n = -1$ , if  $n = 2$ , then the cardinality of  $F$  must be greater than 3. Consequently,  $W/Z(W)$  is simple by the Jordan–Moore Theorem [18, Theorem 8.19, p. 167].

Conversely, assume that  $W/Z(W)$  is simple. If for all  $k$  in  $F$ ,  $k^n \neq -1$ , then  $Z(W) = \{\alpha I: \alpha^n = 1\}$  and hence  $\text{SL}(n, F)/Z(W)$  is a proper normal subgroup of  $W/Z(W)$ , a contradiction. Therefore (3) holds.  $\square$

**Lemma 3.7.** *Suppose that 2 is a unit in  $A$  and that  $G/Z(G)$  is a finite simple group. If  $l(Z(W)) \leq 4$  or if  $Z(W)$  is a torsion group, then  $(1+J) \cap W \subseteq Z(G)$ .*

**Proof.** Assume that  $l(Z(W)) \leq 4$ . By Lemma 3.2, since  $G/Z(G)$  is a simple group,  $G/Z(G)$  is finite. Therefore by the Feit–Thompson Theorem [8, Theorem, p. 775], the order,  $|G/Z(G)|$ , of  $G/Z(G)$  is 1, a prime  $p$  or  $2^n q$  where  $n$  is a positive integer and  $q$  is an odd integer. The result clearly holds if  $|G/Z(G)| = 1$  and so we may assume that  $|G/Z(G)|$  is a prime  $p$  or  $|G/Z(G)|$  is even.

Suppose first that  $|G/Z(G)| = 2$ . We will prove that  $(1+J) \cap W = \{1\}$ . By Lemma 3.5 it suffices to show that if  $w \in (1+J) \cap W$  and  $l(w) \leq 2$ , then  $w = 1$ . Let  $d_1, d_2 \in \Delta$  where  $d_1 d_2 \in 1+J$ . If  $d_1 d_2 \neq 1$ , let  $a \in J \setminus \{0\}$  be such that  $d_1 d_2 = 1+a$ . Then  $(d_1 d_2)^2 \neq 1$ . Indeed, if  $(d_1 d_2)^2 = 1$ , then  $1+2a+a^2 = (1+a)^2 = 1$  and so  $a(2+a) = 0$ . But  $2+a$  is a unit in  $A$  and consequently  $a = 0$ , a contradiction. So  $(d_1 d_2)^2 \neq 1$ . Therefore,  $(d_1 d_2)^2 \in (1+J) \setminus \{1\}$  and so there exists a nonzero  $b$  in  $J$  with  $(d_1 d_2)^2 = 1+b$ . By Lemma 3.4,  $(d_1 d_2)^2 = \sigma_1 \sigma_2$  for some  $\sigma_1, \sigma_2 \in \Delta$ . Since  $|G/Z(G)| = 2$ ,  $\sigma_1 \sigma_2 = (d_1 d_2)^2 \in Z(G)$ . Therefore  $(1+b)^2 = (\sigma_1 \sigma_2)^2 = (\sigma_1 \sigma_2) \sigma_1 \sigma_2 = \sigma_1 (\sigma_1 \sigma_2) \sigma_2 = 1$ . Hence  $b(2+b) = 0$  and so  $b = 0$ , a contradiction. Consequently, if  $|G/Z(G)| = 2$ , then  $(1+J) \cap W = \{1\} \subseteq Z(G)$ .

Assume that  $|G/Z(G)|$  is an odd prime  $p$ . Let  $d \in \Delta$ . Then  $d = d^p \in Z(G)$  and therefore  $W \subseteq Z(G)$ .

Finally, assume that  $|G/Z(G)| = 2^n q$  where  $n$  is a positive integer and  $q$  is odd. As  $(1+J) \cap W$  is a normal subgroup of  $G$ ,  $((1+J) \cap W)Z(G) = G$  or  $((1+J) \cap W)Z(G) = Z(G)$ . In order to prove that  $(1+J) \cap W \subseteq Z(G)$ , it suffices to prove that  $((1+J) \cap W)Z(G) \neq G$ . Suppose that  $((1+J) \cap W)Z(G) = G$ . Since  $|G/Z(G)|$  is even, there exists a  $g$  in  $G$  such that the order of  $gZ(G)$  in  $G/Z(G)$  is 2. As  $((1+J) \cap W)Z(G) = G$ , there exists a nonzero element  $a$  in  $J$  such that  $1+a \in W$  and  $gZ(G) = (1+a)Z(G)$ . Let  $w = (1+a)^2$ . Then  $w \in W \cap Z(G) \subseteq Z(W)$ . Observe that  $w$  has finite order. Indeed, since  $l(Z(W)) \leq 4$ ,  $w = d_1 d_2 d_3 d_4$  where each  $d_i$  is in  $\Delta$ . As  $w \in Z(G)$ , an inductive argument establishes that for each positive integer  $k$ ,  $w^k = (d_1 d_2)^k (d_3 d_4)^k$ . Let  $k = |G/Z(G)|$ . By Lemma 3.4, there exist  $\sigma_1, \sigma_2, \sigma_3, \sigma_4 \in \Delta$  such that  $(d_1 d_2)^k = \sigma_1 \sigma_2$  and  $(d_3 d_4)^k = \sigma_3 \sigma_4$ . Since  $\sigma_1 \sigma_2 = (d_1 d_2)^k \in Z(G)$  and  $\sigma_3 \sigma_4 = (d_3 d_4)^k \in Z(G)$ ,  $(\sigma_1 \sigma_2)^2 = (\sigma_3 \sigma_4)^2 = 1$ . Therefore  $w^{2k} = 1$ . Let  $k_1$  be the

order of  $w$ . Write  $k_1 = 2^i k_0$  where  $i$  is a nonnegative integer and  $k_0$  is odd. Recall that  $w = (1+a)^2$ . Notice that as the order of  $(1+a)Z(G)$  in  $G/Z(G)$  is 2,  $(1+a)^{k_0} \neq 1$ . So  $(1+a)^{k_0} = 1+b$  for some nonzero  $b$  in  $J$ . Hence  $(1+b)^{2^{i+1}} = (1+a)^{2 \cdot 2^i k_0} = w^{k_1} = 1$ . Therefore

$$b \left[ 2^{i+1} + \binom{2^{i+1}}{2} b + \dots + b^{2^{i+1}-1} \right] = 2^{i+1} b + \binom{2^{i+1}}{2} b^2 + \dots + b^{2^{i+1}} = 0.$$

But  $2^{i+1}$  is a unit in  $A$  and  $\binom{2^{i+1}}{2} b + \dots + b^{2^{i+1}-1} \in J$ . Consequently  $b = 0$ , a contradiction.

Observe that in the above argument, the assumption that  $l(Z(W)) \leq 4$  was only used to prove that if  $|G/Z(G)|$  is even and if  $w \in W \cap Z(G)$ , then  $w$  has finite order. Consequently a similar proof establishes that if  $Z(W)$  is a torsion group, then  $(1+J) \cap W \subseteq Z(G)$  as well.  $\square$

**Theorem 3.8.** *Let  $A$  be a compact ring with identity for which 2 is a unit in  $A$ . Let  $G$  denote the group of units in  $A$  and let  $W$  be the subgroup of  $G$  generated by the set  $\{g \in G: g^2 = 1\}$  of involutions of  $G$ . Suppose that  $(1+J) \cap W \subseteq Z(G)$ . Then the following are equivalent:*

(1)  $G/Z(G)$  is a finite simple group.

(2)  $A$  is isomorphic and homeomorphic to one of the following rings:

(i)  $M(n, F) \times \prod_{\alpha \in \Lambda} N_\alpha$  where  $M(n, F)$  is the ring of  $n \times n$  matrices over a finite field  $F$  of odd characteristic for which there exists an element  $k$  in  $F$  satisfying  $k^n = -1$  and for each  $\alpha$  in  $\Lambda$ ,  $N_\alpha$  is a commutative compact local ring with identity such that the characteristic of  $N_\alpha/J_\alpha$  is an odd prime where  $J_\alpha$  is the Jacobson radical of  $N_\alpha$ ,

(ii)  $N \times \prod_{\alpha \in \Lambda} N_\alpha$  where  $N$  is a compact local ring with identity such that the characteristic of  $N/J(N)$  is an odd prime and  $G(N)/Z(G(N))$  is a simple group and where for each  $\alpha$  in  $\Lambda$ ,  $N_\alpha$  has the properties described in (i), or

(iii)  $\prod_{\alpha \in \Lambda} N_\alpha$  where  $\Lambda$  is a nonempty set and for each  $\alpha$  in  $\Lambda$ ,  $N_\alpha$  has the properties described in (i).

**Proof.** By Lemma 3.6, if  $A$  is isomorphic to a ring of type (i), then  $G/Z(G)$  is a simple group. Therefore  $2^\circ$  implies  $1^\circ$ .

Conversely, assume that  $G/Z(G)$  is a simple group. Denote  $\{g \in G: l(g) \leq 2\}$  by  $\Delta^2$ . Then  $(1+J) \cap \Delta^2 \subseteq (1+J) \cap W \subseteq Z(G)$ . Therefore  $(1+J) \cap \Delta^2 = \{1\}$ . Indeed, if  $d_1, d_2 \in \Delta$  and  $d_1 d_2 \in 1+J$ , then  $(d_1 d_2)^2 = (d_1 d_2) d_1 d_2 = d_1 (d_1 d_2) d_2$  as  $d_1 d_2 \in Z(G)$ . So  $(d_1 d_2)^2 = 1$ . Hence if  $d_1 d_2 = 1+a$  where  $a \in J$ , then  $(1+a)^2 = (d_1 d_2)^2 = 1$ . So  $a(2+a) = 0$ . Consequently, as 2 is a unit in  $A$  and as  $a \in J$ ,  $a = 0$ . Thus  $d_1 d_2 = 1$ . Therefore by Lemma 3.5,  $A$  is isomorphic and homeomorphic to  $\prod_{\alpha \in \Lambda} N_\alpha$  where for each  $\alpha$  in  $\Lambda$ ,  $N_\alpha$  is a matrix ring over a finite field having odd characteristic or  $N_\alpha$  is a compact local ring with identity such that the characteristic of  $N_\alpha/J_\alpha$  is an odd prime where  $J_\alpha$  is the Jacobson radical of  $N_\alpha$ . For each  $\alpha$  in  $\Lambda$ , let  $G_\alpha$  denote the group of units in  $N_\alpha$ . Since  $G/Z(G)$  is simple, the subset  $A_1$  of  $A$  defined by

$A_1 = \{\alpha \in A: G_\alpha \text{ is nonabelian}\}$ , has at most one element. Note that for each  $\alpha$  in  $A \setminus A_1$ ,  $N_\alpha$  is a commutative ring by [3, Theorem 3.10]. Suppose that  $A_1 \neq \emptyset$ . Let  $\alpha \in A_1$ . Since  $G_\alpha/Z(G_\alpha)$  is a simple group,  $A$  is isomorphic and homeomorphic to a ring of type (i) or of type (ii) by Lemma 3.6.  $\square$

**Corollary 3.9.** *Let  $A$  be a compact ring with identity for which 2 is a unit. The following are equivalent:*

- (1)  $G/Z(G)$  is a finite simple group and  $l(W) \leq 4$ .
- (2)  $G/Z(G)$  is a finite simple group and  $l(Z(W)) \leq 4$ .
- (3)  $G/Z(G)$  is a finite simple group and  $(1+J) \cap W \subseteq Z(G)$ .
- (4)  $A$  is isomorphic and homeomorphic to a ring of type (i), (ii) or (iii) as described in Theorem 3.8.
- (5)  $G/Z(G)$  is a finite simple group and  $W$  is a torsion group.
- (6)  $G/Z(G)$  is a finite simple group and  $Z(W)$  is a torsion group.

**Proof.** By Lemma 3.7, (2) implies (3). Theorem 3.8 yields that (3) implies (4). Note that if  $N$  is a compact local ring with identity for which 2 is a unit, then  $W(N) = \{\pm 1\}$  by [4, Theorem 2.9] (and in particular,  $l(W(N)) = 1$ ). Consequently (4) implies (5). By Lemma 3.7, (6) implies (3) and hence (3)–(6) are equivalent. Lemma 3.6 and the above observation yield that if  $A$  is isomorphic to a ring of type (i), (ii) or (iii) as described in Theorem 3.8, then  $l(W) \leq 4$ . Thus (1)–(6) are equivalent.  $\square$

#### 4. Nilpotency and commutativity of $W$

**Lemma 4.1.** *Let  $A$  be a compact ring with identity for which  $W$  is a nilpotent group. Then there exists a positive integer  $m$  such that for all  $\sigma_1, \sigma_2 \in \Delta$ ,  $(\sigma_1\sigma_2)^{2^m} = 1$ .*

**Proof.** Let  $\{1\} = Z_0 \subseteq Z_1 \subseteq \dots \subseteq Z_{m-1} \subseteq Z_m = W$  be the ascending central series for  $W$ . So for all  $i$ ,  $0 \leq i \leq m-1$ ,  $Z_{m-i}/Z_{m-(i+1)}$  is the center of  $W/Z_{m-(i+1)}$ . Let  $\sigma_1, \sigma_2 \in \Delta$ . Since  $Z_m/Z_{m-1}$  is abelian,  $(\sigma_1\sigma_2)^2 \in Z_{m-1}$ . By Lemma 3.4, there exist  $\sigma_1^{(2)}$  and  $\sigma_2^{(2)}$  in  $\Delta$  such that  $(\sigma_1\sigma_2)^2 = \sigma_1^{(2)}\sigma_2^{(2)}$ . Since  $Z_{m-1}/Z_{m-2}$  is the center of  $W/Z_{m-2}$  and since  $\sigma_1^{(2)}\sigma_2^{(2)} \in Z_{m-1}$ ,  $(\sigma_1^{(2)}\sigma_2^{(2)})\sigma_1^{(2)}\sigma_2^{(2)} = \sigma_1^{(2)}(\sigma_1^{(2)}\sigma_2^{(2)})\sigma_2^{(2)}$ , that is,  $(\sigma_1^{(2)}\sigma_2^{(2)})^2 \in Z_{m-2}$ . So  $(\sigma_1\sigma_2)^{2^2} \in Z_{m-2}$ . An inductive proof then establishes that  $(\sigma_1\sigma_2)^{2^m} \in Z_0 = \{1\}$ .  $\square$

**Theorem 4.2.** *Let  $A$  be a compact ring with identity for which 2 is a unit in  $A$ . The following are equivalent:*

- (1)  $W$  is a nilpotent group.
- (2)  $A$  is isomorphic and homeomorphic to a product,  $\prod_{\alpha \in A} N_\alpha$ , where  $A$  is a nonempty set and for each  $\alpha$  in  $A$ ,  $N_\alpha$  is a compact local ring with identity such that the characteristic of  $N_\alpha/J_\alpha$  is an odd prime  $p_\alpha$  where  $J_\alpha$  is the Jacobson radical of  $N_\alpha$ .
- (3)  $W$  is abelian.
- (4)  $W = \Delta$ .

**Proof.** (3) and (4) are equivalent by [6, Corollary 2.9]. Assume that  $W$  is nilpotent. Let  $\sigma_1, \sigma_2 \in A$  be such that  $\sigma_1\sigma_2 \in 1 + J$ . Then  $\sigma_1\sigma_2 = 1 + a$  for some  $a$  in  $J$ . By Lemma 4.1, there exists a positive integer  $m$  such that  $(\sigma_1\sigma_2)^{2^m} = 1$ . Then  $1 = (\sigma_1\sigma_2)^{2^m} = (1 + a)^{2^m}$  and so  $0 = 2^m a + \binom{2^m}{2} a^2 + \dots + a^{2^m} = a(2^m + \binom{2^m}{2} a + \dots + a^{2^m-1})$ . Since  $2^m$  is a unit in  $A$  and since  $a \in J$ ,  $2^m + \binom{2^m}{2} a + \dots + a^{2^m-1}$  is a unit in  $A$ . Hence  $a = 0$ , that is,  $(1 + J) \cap A^2 = \{1\}$ . Therefore by Lemma 3.5,  $A$  is isomorphic and homeomorphic to a product,  $\prod_{\alpha \in A} N_\alpha$ , where for each  $\alpha$  in  $A$ ,  $N_\alpha$  is the ring of  $m_\alpha \times m_\alpha$  matrices over a finite field  $F_\alpha$  having odd characteristic or  $N_\alpha$  is a compact local ring with identity for which the characteristic of  $N_\alpha/J_\alpha$  is an odd prime  $p_\alpha$ . Suppose that there exists an  $\alpha$  in  $A$  such that  $N_\alpha$  is the ring of  $m_\alpha \times m_\alpha$  matrices over a finite field  $F_\alpha$  where  $m_\alpha > 1$ . Denote  $W(N_\alpha)$  by  $W_\alpha$ . Since  $W_\alpha$  is a homomorphic image of  $W$ ,  $W_\alpha$  is a nilpotent group [18, Theorem 5.25, p. 90] and consequently  $W_\alpha$  is solvable. By [9],  $W_\alpha = \{x \in N_\alpha : \det x = \pm 1\}$  and so  $SL(m_\alpha, F_\alpha) \subseteq W_\alpha$  (where  $SL(m_\alpha, F_\alpha) = \{x \in N_\alpha : \det x = 1\}$ ). Therefore,  $SL(m_\alpha, F_\alpha)$  is solvable [18, Theorem 5.12, p. 81]. So if  $Z$  is the center of  $SL(m_\alpha, F_\alpha)$ , then  $SL(m_\alpha, F_\alpha)/Z$  is solvable as well [18, Theorem 5.13, p. 81]. By [19, Corollary, p. 80],  $m_\alpha = 2$  and  $F_\alpha$  has cardinality 3. Therefore we may assume that  $W_\alpha$  is the group,  $GL(2, \mathbb{Z}/(3))$ , of  $2 \times 2$  nonsingular matrices over  $\mathbb{Z}/(3)$  by [9]. A routine calculation shows that if  $Z_1$  is the center of  $GL(2, \mathbb{Z}/(3))$ , then  $GL(2, \mathbb{Z}/(3))/Z_1$  has a trivial center. Therefore if  $m_\alpha > 1$ , then  $W_\alpha$  is not nilpotent. Hence (1) implies (2).

Clearly (3) implies (1) and so it suffices to prove that (2) implies (3). Assume that (2) holds. For each  $\alpha$  in  $A$ , let  $W_\alpha$  denote  $W(N_\alpha)$ . By Theorem 2.9 of [4], for each  $\alpha$  in  $A$ ,  $W_\alpha$  has precisely two elements. Therefore  $W$  is abelian.  $\square$

**Corollary 4.3.** *Let  $A$  be a compact ring with identity such that 2 is a unit in  $A$ . The following are equivalent:*

- (1)  $W$  is abelian and  $G/W$  is abelian.
- (2)  $A$  is a commutative ring.
- (3)  $G$  is abelian.

**Proof.** It suffices to prove that (1) implies (2). If  $W$  is abelian, then  $A \cong \prod_{\alpha \in A} N_\alpha$  where for each  $\alpha$  in  $A$ ,  $N_\alpha$  is a compact local ring with identity such that the characteristic of  $N_\alpha/J_\alpha$  is an odd prime where  $J_\alpha$  is the Jacobson radical of  $N_\alpha$ . For each  $\alpha$  in  $A$ , let  $1_\alpha$  denote the multiplicative identity of  $N_\alpha$  and let  $G_\alpha$  and  $W_\alpha$  denote  $G(N_\alpha)$  and  $W(N_\alpha)$ , respectively. Note that by [4, Theorem 2.9], for each  $\alpha$  in  $A$ ,  $W_\alpha = \{\pm 1_\alpha\}$  (and hence  $W \cong \prod_{\alpha \in A} \{\pm 1_\alpha\}$ ). By [3, Theorem 3.10], it suffices to prove that if, in addition,  $G/W$  is abelian, then  $G$  is abelian, that is, if  $G/W$  is abelian, then  $G_\alpha$  is abelian for all  $\alpha$  in  $A$ .

Let  $\alpha \in A$ . As  $N_\alpha/J_\alpha$  is a compact local ring with identity,  $N_\alpha/J_\alpha$  is a finite field by [15, Theorem 16]. Thus since  $g \in G_\alpha$  if and only if  $g + J_\alpha$  is a unit in  $N_\alpha/J_\alpha$ , there exist an element  $g_\alpha$  in  $G_\alpha$  and a positive integer  $m$  such that  $G_\alpha = \bigcup_{n=0}^m (g_\alpha^n + J_\alpha)$ . Observe that  $xy = yx$  for all  $x$  and  $y$  in  $J_\alpha$ . Indeed, if  $xy \neq yx$  for some  $x$  and  $y$  in  $J_\alpha$ , then  $(1_x + x)(1_x + y) = -(1_x + y)(1_x + x)$  since  $G_\alpha/W_\alpha$  is abelian and since  $W_\alpha = \{\pm 1_x\}$ .

So  $2 \cdot 1_x = -[yx + xy + 2(x + y)] \in J_x \cap G_x$ , a contradiction. Similarly,  $g_x x = x g_x$  for all  $x$  in  $J_x$ . Therefore as  $G_x = \bigcup_{n=0}^m (g_x^n + J_x)$ ,  $G_x$  is abelian and consequently (1) implies (2).  $\square$

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